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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/706,406	11/03/2000	James P. Tagg	TAG P-3	7866
75	11/22/2005		EXAM	INER
STEPHEN M. CHIN			NGUYEN	I, HAI V
REED SMITH LLP 599 LEXINGTON AVENUE			ART UNIT	PAPER NUMBER
NEW YORK, NY 10022			2142	

DATE MAILED: 11/22/2005

Please find below and/or attached an Office communication concerning this application or proceeding.



		Application I	No.	Applicant(s)	
	·	09/706,406		TAGG, JAMES P.	
	Office Action Summary	Examiner		Art Unit	
		Hai V. Nguye	n	2142	
Period fo	The MAILING DATE of this communication a r Reply	ppears on the co	over sheet with the co	orrespondence ad	dress
A SHO WHIC - Exter after - If NO - Failui Any r	ORTENED STATUTORY PERIOD FOR REPERIOR IS LONGER, FROM THE MAILING asions of time may be available under the provisions of 37 CFR SIX (6) MONTHS from the mailing date of this communication, period for reply is specified above, the maximum statutory perior to reply within the set or extended period for reply will, by state eply received by the Office later than three months after the mained patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS 1.136(a). In no event, od will apply and will ex ute, cause the applicat	COMMUNICATION however, may a reply be tim price SIX (6) MONTHS from to become ABANDONED	l. ely filed the mailing date of this co O (35 U.S.C. § 133).	,
Status					
2a)⊠	Responsive to communication(s) filed on 10 This action is FINAL . 2b) The Since this application is in condition for allow closed in accordance with the practice under	nis action is non- vance except for	formal matters, pro		merits is
Dispositi	on of Claims				
5)□ 6)⊠ 7)□ 8)□ Applicati 9)□	Claim(s) 21-46 is/are pending in the applicate 4a) Of the above claim(s) is/are withdred claim(s) is/are allowed. Claim(s) 21-46 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restriction and con Papers The specification is objected to by the Examination of the drawing(s) filed on is/are: a) are applicant may not request that any objection to the Replacement drawing sheet(s) including the corresponding sheet(s) including sheet(s)	rawn from consi	uirement. objected to by the E neld in abeyance. See	37 CFR 1.85(a).	FR 1.121(d).
11)	The oath or declaration is objected to by the	Examiner. Note	the attached Office	Action or form PT	O-152.
Priority u	ınder 35 U.S.C. § 119				
a)[Acknowledgment is made of a claim for foreignal All b) Some * c) None of: 1. Certified copies of the priority docume 2. Certified copies of the priority docume 3. Copies of the certified copies of the priority docume application from the International Bure see the attached detailed Office action for a life.	ents have been r ents have been r riority document eau (PCT Rule 1	received. received in Applications s have been receive 17.2(a)).	on No d in this National	Stage
2) Notice 3) Information	t(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB/0 r No(s)/Mail Date		Interview Summary of Paper No(s)/Mail Da Notice of Informal Pa	te)-152)

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1. This Office Action is in response to the communication received on 10 August 2005.

- 2. Claims 1-20 are cancelled.
- 3. Claims 21-46 are new claims ands presented for examination.

Response to Arguments

4. Applicant's arguments and amendments received on 10 August 2005 have been fully considered but they are not deemed fully persuasive. Applicant's arguments are deemed moot in view of the following new ground(s) of rejection as explained here below, necessitated by Applicant's substantial amendment (i.e., canceling claims 1-20 and adding new claims 21-46) to the claims which significantly affected the scope thereof.

Claim Rejections - 35 USC § 112

- 5. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 6. Claims 37-38 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
- 7. Claim 37 recites "<u>the</u> air interference". There is insufficient antecedent basis for this limitation in the claim.
- 8. Claim 38 recites "the data stream". There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 102

9. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102(e) that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

- 10. Claims 21-24, 33 and 46 are rejected under 35 U.S.C. 102(e) as being anticipated by **Tummala** et al. U.S. patent # **6,915,345 B1**.
- 11. As to claim 21, Tummala, discloses a method of connecting a client system (Fig. 4, client home network 310) to a target network (Fig. 4, foreign network 340) comprising:

configuring a tunnel in a host system (Fig. 4, SLA Broker server 375) under control of host system, wherein the host system is capable of accessing a target network (Fig.4, the AAA broker server is configured with information about the clients with which it accepts requests from, col. 13, line 58 – col. 14, line 38; col. 7, line 41 – col. 8, line 59; col. 9, lines 19-65).

connecting the client system to the host system under the control of client system, (Mobile node makes the connection with the registration request and response

through the AAA servers, or the mobile node 64 can then initiate establishment of the secure connection; Fig. 2, col. 7, line 41 – col. 9, line 65).

comparing preferences (attributes) of the host system and the client system under the control of a matching means (a Service Level Agreement (SLA)) (Fig. 4, col. 6, lines 14-58; col. 11, line 40 – col. 12, line 36).

establishing of a secure link from the client system to the target network through the tunnel in the host system when the preferences of the host system and client system match ($col.\ 11$, line $40 - col.\ 12$, line 36); wherein the client system does not access any resources of the host system outside of said tunnel (Fig. 4, $col.\ 6$, lines 14-58; $col.\ 7$, line $41 - col.\ 9$, line 65; $col.\ 11$, line $40 - col.\ 12$, line 36).

- 12. As to claim 22, Tummala discloses wherein the comparing step is made in a server (Fig. 4, server 375).
- 13. As to claim 23, Tummala discloses wherein the target network is the Internet (Fig. 4, item 335).
- 14. As to claim 24, Tummala discloses wherein the target network is a public network (col. 4, line 44).
- 15. Claim 33 has similar limitations of claims 21 except for the limitation of "disconnecting the client system from the first host system" which is merely the session timeout AVP tagged to specify the cache timeout for particular host (*Tummala*, col. 11, line 8 col. 12, line 36).
- 16. Claim 46 corresponds to the system claim of claim 1; therefore, it is rejected under the same rationale as in claim 1.

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Claim Rejections - 35 USC § 103

- 17. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 18. Claims 25-32, 34-45 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Tummala** as applied to claims 21-24 above, in view of **Lynch** U.S patent # **6,487,600 B1**.
- 19. As to claim 25, Tummala does not explicitly disclose the target network is a PSTN. Lynch disclose that, "the client communication device may take differing forms to provide telephone functions and PC functions along with the communication functions it provides. The network friend has the basic structure of a web server and may facilitate transactions via the Internet and/or the PTSN (Lynch, col. 4, lines 4-14)" as evidenced by implementing the security across the PSTN (Lynch, col. 4, lines 29-39).
- 20. As to claim 26, Tummala-Lynch discloses wherein the client system is a mobile phone (*Tummala*, *Abstract*; *Lynch*, *col.* 7, *lines* 16-26).
- 21. As to claim 27, Tummala-Lynch discloses wherein the host system is a virtual private network (*Tummala, Abstract; col. 9, lines 55-65*).
- 22. As to claim 28, Tummala-Lynch discloses where the host systems and the client systems are members of service that gives reciprocal roaming rights (*Tummala*, *Abstract; col. 9, lines 55 col. 10, line 14*).

- 23. As to claim 29, Tummala-Lynch discloses where the comparing step comprises the steps: determining a cost of obtaining a link and comparing the cost with a predetermined limit (*Tummala*, *SLA thresholds or attributes matched on the home network, claim 14-16*) stored by said client system where a match will be found if the cost is less than or equal to the predetermined limit (*Tummala*, *SLA thresholds or attributes matched on the home network, claim 14-16*).
- 24. As to claim 30, Tummala-Lynch discloses where the host system is not connected to the target network and will make connection to that target network upon request by a client system (Tummala, the SLA broker is not connected if the client does not initiate the communication or as long as the SLA is valid, col. 9, line 19 col. 10, line 14).
- 25. As to claim 31, Tummala-Lynch discloses where the secure link through the tunnel comprises channels to transmit time divided data (*Tummala*, *messages*) (*Tummala*, *col.* 9, *line* 19 *col.* 10, *line* 14).
- 26. As to claim 32, Tummala-Lynch discloses connecting a second client system to the first client system in order that the second client system is able to access the target network through the tunnel in the host system (*Tummala*, the mobile nodes communicate each other through the secured channel or tunnel via AAA servers based on the valid SLAs, col. 9, line 19 col. 10, line 65).
- 27. As to claim 34, Tummala-Lynch discloses wherein the establishing step further comprises the step of notifying a user of the client system of availability of a link wherein

the user can accept or reject the connection (Lynch, col. 13, line 15 - col. 14, line 13; col. 21, line 49 - col. 22, line 25).

- 28. As to claim 35, Tummala-Lynch discloses wherein any data by the client system is encrypted prior to being sent to the network such that the privacy and security for the client are maintained (*Lynch*, col. 3, line 55 col. 4, line 49; col. 21, line 49 col. 22, line 25; col. 35, lines 6-67).
- 29. As to claim 36, Tummala-Lynch discloses, wherein the encryption can be selectively applied to the data depending on the preferences (*Tummala, col. 6, lines 14-58; col. 9, line 3 col. 10, line 65*).
- 30. As to claim 37, Tummala-Lynch discloses, wherein the connecting step comprises the step of causing the host system to mimic the air interference for a cordless telephone link (*Tummala*, the cellular transceiver 360, col. 6, lines 14-58; col. 9, line 3 col. 10, line 65).
- 31. As to claim 38, Tummala-Lynch discloses, converting the data stream into data packets for transmission over the Internet under the control of the host system (Tummala, col. 6, lines 14-58; col. 9, line 3 col. 10, line 65; Lynch, col. 13, line 15 col. 14, line 13; col. 21, line 49 col. 22, line 25).
- 32. As to claim 39, Tummala-Lynch discloses where the client configuration is performed automatically by the host when the host system is first power on (Lynch, col. 21, line 49 col. 22, line 25).

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- 33. AS to claim 40, Tummala-Lynch discloses wherein the connecting step comprises using wireless once connection technology (*Tammala, Abstract*; *Lynch, Abstract*).
- 34. As to claim 41, Tummala-Lynch discloses wherein the establishing step occurs automatically once the match is made (*Tammala*, col. 6, lines 14-59; Lynch, col. 21, line 49 col. 22, line 25; col. 35, lines 6-67).
- 35. As to claim 42, Tummala-Lynch discloses wherein the packets are VoIP (Lynch, col. 3, line 55 col. 4, line 49; col. 21, line 49 col. 22, line 25; col. 35, lines 6-67).
- 36. As to claim 43, Tummala-Lynch discloses wherein the packets are sent over any available port in order to pass through a firewall (*Tammala*, *Fig. 4*, *items 347*, 319).
- 37. As to claim 44, Tummala-Lynch discloses wherein the client system connects to and disconnect from the host system and second host system under the control of said matching means (*Tummala*, based on SLAs).
- 38. As to claim 45, Tummala-Lynch discloses wherein the connecting step comprises the step of coordinating the different frequencies used by the client system I connecting to the host system (*Lynch*, col. 3, line 55 col. 4, line 49; col. 21, line 49 col. 22, line 25; col. 35, lines 6-67).
- 39. Further references of interest are cited on Form PTO-892, which is an attachment to this action.

Conclusion

40. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hai V. Nguyen whose telephone number is 571-272-3901. The examiner can normally be reached on 6:00-3:30 Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Caldwell can be reached on 571-272-3868. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hai V. Nguyen Examiner Art Unit 2142

RV

THONG VU Primary Examiner Mron

Notice of References Cited Application/Control No. 09/706,406 Examiner Hai V. Nguyen Applicant(s)/Patent Under Reexamination TAGG, JAMES P. Art Unit Page 1 of 1

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*	С	US-6,938,087	08-2005	Abu-Samaha, Mamoun	709/227
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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

